

Audit Report (Stage 1) ----

Organisation: **Malad Kandivli Education Society's Nagindas Khandwala College Of Commerce, Arts & Managements Studies And Shantaben Nagindas Khandwala College Of Science / The Bombay Suburban Grain Dealers' Junior College Of Commerce, Arts & Science**

Audits (ZA): **4821/2010**



Master Data of Organisation

| | | |
|---|---|-----------------------------|
| Name of Organisation | Malad Kandivli Education Society's Nagindas Khandwala College of Commerce, Arts & Management Studies and Shantaben Nagindas Khandwala College of Science and The Bombay Suburban Grain Dealers' Junior College of Commerce, Arts and Science | |
| Name of corporate group (in case of group certification) | | |
| Street | Bhadran Nagar, Road No.1, S.V.Road, Malad West, Mumbai - 400 064 | |
| Postcode / Town / Country | 400064 Mumbai / Maharashtra | |
| Contact | Dr. (Mrs) Moushumi Datta – Professor & MR | |
| E-Mail | <moushumi@nkc.ac.in> | |
| Phone/Fax | 022 28072262 , 022 28085424 | 022 28072262 , 022 28085424 |
| Language | English | |
| Scope Description | Design and Development of Curriculum and Imparting Education to Under Graduate students in the Faculty of Commerce, Arts, IT and Computer Science and Post Graduate students of Commerce, Arts and IT, affiliated to the University of Mumbai. Imparting Education to Higher Secondary Students of Maharashtra State Board – Mumbai Division in the Commerce and Arts stream | |
| | more description regarding scope in annex | |
| Industry / Scope (EA, TA, ...) | 36, 37.0, 38 | |

Audit profile

| | | |
|---|--|--------------|
| Standards under contract / Audit type | ISO 9001 : 2015 Certification audit – Stage 1 | --- : --- |
| | --- | --- : --- |
| System documentation: Revision / Issue | Doc. Info. Issue 01, 15.01.18 | |
| Surveillance mode | Yearly surveillance | |
| Audit team leader / responsible | V.G.Patil | |
| Audit team | | |
| Technical expert | | |
| Trainee | | |
| Multisite-organisation | All sites are listed in: <input checked="" type="checkbox"/> Audit Reference Data Sheet <input type="checkbox"/> separate Listing <input type="checkbox"/> Audit program/ATEA <input type="checkbox"/> Multisite-certification (Sample) | |
| Shift operation | no shift operation | |

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Details for Stage 1 – Audit

| | | | |
|--------------------------|---------------------|------|----------------|
| Stage 1 - Audit | on site visit. | | |
| Duration Stage 1 – Audit | ISO 9001 : 2015 | 1,00 | person-day (s) |
| | : | 0,00 | person-day (s) |
| | : | 0,00 | person-day (s) |
| | : | 0,00 | person-day (s) |
| | | 1,00 | total |
| Date Stage 1 - Audit | 03.12.18 - 03.12.18 | | |

Audit Result

System documentation

The system documentation was reviewed with the following result:

| | | | |
|---|----------------|----------------|----------------|
| ISO 9001:2015 not completely fulfilled | not applicable | not applicable | not applicable |
|---|----------------|----------------|----------------|

q.v. enclosed checklist(s) for system documentation, number:

Readiness

Relevant areas of the organisation were visited during a tour of the site and individual employees were asked about their activities and the management system on a random sample basis in order to gain an impression of employee awareness.

| | | | |
|----------------------------|----------------|----------------|----------------|
| ISO 9001:2015 fulfilled | not applicable | not applicable | not applicable |
|----------------------------|----------------|----------------|----------------|

Internal audits and assessments of the management system

Planning and implementation of the internal audit and the management system reviews were checked using the available documented informations.

| | | | |
|----------------------------|----------------|----------------|----------------|
| ISO 9001:2015 fulfilled | not applicable | not applicable | not applicable |
|----------------------------|----------------|----------------|----------------|

If individual requirements have been assessed as "not fulfilled", the nonconformities are described in more detail in the "detailed results" section.

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Recommendation of audit team: Result

- The audit team is convinced that the Stage 2 audit can be performed as planned without limitations.
- The audit team is convinced that the Stage 2 audit can be performed as planned - the organisation must ensure, however, that the areas of concern which have been identified have been effectively corrected at the planned date.
- The audit team is convinced that effective correction of the areas of concern which have been identified must be verified before the Stage 2 audit (repeat stage 1 audit).

Recommendation of audit team: Plan for stage 2 (duration, team, sites etc..)

The comments regarding the Stage 2 Audit and the surveillance program were drawn up based on the results of the above audit activities

- The audit team is convinced that the comments on the Stage 2 audit are correct (no approval required by the FL)
- The audit team is of the opinion that the comments made to date on the Stage 2 audit are not correct and proposes the following changes:

Review and approval by Specialist Manager(s): / / /

Further comments in annex

Date: 03.12.18

Name: V.G.Patil

Signature:

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Statement of the Certification Body (Specialist Manager)

Statement regarding the proposals of audit team for the Stage 2 Audit

The recommendations of audit team are accepted

Continued in an annex

| | |
|----------------|-----------|
| Date: Name: | Signature |
|----------------|-----------|

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Detailed results

The evaluation of the audit results basically follows the scheme shown below:

| Stage | Classification | Meaning |
|-------|-----------------------------|--|
| IAC | Identified areas of concern | Areas of concern that have been identified during stage 1 audit and could be classified as a nonconformity during stage 2 audit. |
| CM | Comments | Special situation and information to be traced in next audit. |

| No. | IAC | Area / Process | Standard:clause |
|-----|--|---------------------|---------------------------|
| 1. | The processes of institute are identified with their interaction in Annex III of QMS Doc. Info.(Quality Mnual). However, individual processes are not determined to fully meet requirements of Clause 4.4. | QMS Rep. (Sr. D.R.) | ISO 9001:2015, Clause 4.4 |
| 2 | The interested parties with their relevant requirements are listed in Annex II of QMS Doc. Info.(Quality Mnual). However, few of them are missed out e.g. management, employees and external providers. | QMS Rep. (Sr. D.R.) | ISO 9001:2015, Clause 4.2 |
| 3 | The documented information to be maintained & retained are listed in Annex XI of QMS Doc. Info.(Quality Mnual). However, few of them are missed out e.g. design & development. | QMS Rep. (Sr. D.R.) | ISO 9001:2015, Clause 7.5 |

| No. | CM | Area / Process | Standard:clause |
|-----|----|----------------|-----------------|
| - | - | - | - |
| | | | |